



#9/135
11-8-02
R. K. K.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Kurita, S. & Blonigan, W. §
§
FILED: September 15, 2000 §
§
SERIAL NO.: 09/663,862 §
§
FOR: Double Dual Slot Load Lock for §
Process Equipment §

ART UNIT:

EXAMINER:

DOCKET:

D6344

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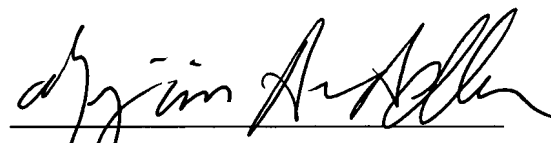
INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(c)
AND STATEMENT UNDER 37 CFR 1.97(e)(1)

Dear Sir:

Enclosed herewith for filing under 37 C.F.R. 1.97(c) in the above-referenced matter is form PTO-1449 together with copies of the references listed therein. Applicants state that each item of information contained in the information disclosure statement herein was cited in a communication from a foreign application not more than three months prior to the filing of the information disclosure statement. If any questions remain, the Examiner is respectfully requested to call the undersigned attorney at (713)-270-5391.

Respectfully submitted,

Date: 9/19/02
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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

Information Disclosure
Statement

DOCKET NO.: D6344
SERIAL NO.: 09/663,862
APPLICANT: Kurita, S. & Blonigan, W.
FILING DATE: September 15, 2000
GROUP:

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
	6,059,507	5/9/00	Adams	414/217.1	414/437	3/27/98
	6,338,626B1	1/15/02	Hiroaki	432/243	118/50	9/10/97

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Publication: Patent Cooperation Treaty (PCT)

Translation: English

Document Number: WO 99 13504A Date: 3/18/99

Country: Greate Britain

Class Or Subclass

Patent: Yes ☐ No ☒

Title: A Load-Lock Mechanism and Processing Apparatus

Publication: Patent Cooperation Treaty (PCT)

Translation: English

Document Number: 0 608620A1 Date: 3/8/94

Country: Greate Britain

Class Or Subclass C23C 16/00

Patent: Yes ☐ No ☒

Title: Vacuum Processing Apparatus having improved throughput

Publication: Patent Cooperation Treaty (PCT)

Translation: English

Document Number: EP 0 935 279 A2 Date: 8/11/99

Country: Greate Britain

Class Or Subclass H01L 21/00

Patent: Yes ☐ No ☒

Title: Device and method for load locking for semiconductor processing

Publication: Patent Cooperation Treaty (PCT)

Translation: English

Document Number: 03166345 Date: 6/11/91

Country: Greate Britain

Class Or Subclass H01L 21/68

Patent: Yes ☐ No ☒

Title: Semiconductor Wafer Processor

OTHER DOCUMENTS (Including Author, Title, Place of Date, Publication)

Examiner

Date Considered

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)

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CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8

Dear Sir:

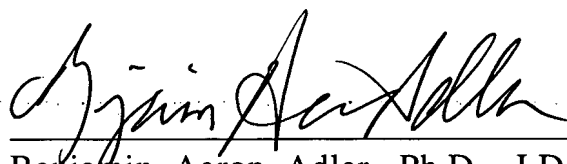
I hereby certify under 37 CFR 1.8 that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to: Honorable Commissioner of Patents, BOX DD, Washington, DC 20231.

- 1) Information Disclosure Statement;
- 2) Information Disclosure Statement Cover Letter;
- 3) PTO Form 1449 (Six References cited); and
- 4) Postcard.

Please return the enclosed postcard acknowledging receipt of this correspondence.

Respectfully submitted,

Date: Sept 19, 2002
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